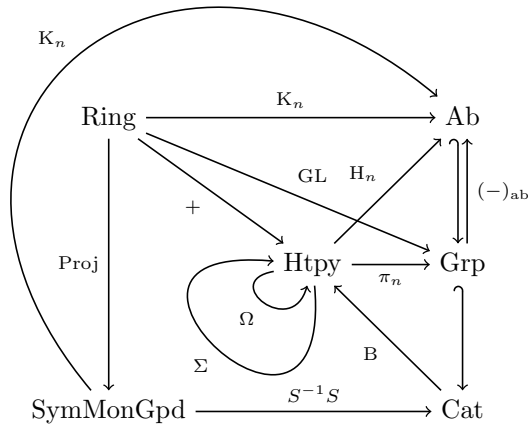


# ALGEBRAIC $K$ -THEORY WITH APPLICATIONS TO MODEL THEORY

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## 1. INTRODUCTION & MOTIVATION

Algebraic  $K$ -theory provides a sequence  $K_*$  of  $\text{Ab}$ -valued covariant functors on  $\text{Ring}$  or, more generally, on small exact categories or symmetric monoidal groupoids.

Grothendieck's study of algebraic  $K$ -theory was motivated by Serre's topological  $K$ -theory. We define the (topological)  $K^0(X)$  to be the group completion of stable isomorphism classes of vector bundles on  $X$ . This is a contravariant functor  $\text{Top} \rightarrow \text{Ab}$ .

**Definition 1.1.** Let  $E$  be an  $\mathbb{F}$ -vector bundle on  $X$ . A global section of  $E$  is a continuous function  $s : X \rightarrow E$  such that  $p \circ s = \text{Id}_X$ . The set of all global sections of  $E$  can be made into a  $C_{\mathbb{F}}(X)$ -module,  $\Gamma(E)$  by pointwise addition and scalar multiplication.

**Theorem 1.2** (Swan's Theorem). Let  $X$  be a compact, Hausdorff topological space and  $\mathbb{F} = \mathbb{R}$  or  $\mathbb{C}$ .  $\Gamma(-)$  is an equivalence of categories  $\text{Vect}_{\mathbb{F}}(X) \rightarrow \text{Proj}(C_{\mathbb{F}}(X))$ .

**Corollary 1.3.** For a compact Hausdorff topological space  $X$ ,  $K^0(X) \cong K_0(C_{\mathbb{C}}(X))$  and  $KO^0(X) \cong K_0(C_{\mathbb{R}}(X))$ .

## 2. LOWER $K$ -THEORY

Given a ring  $R$ , the lower  $K$ -theory classifies:

- $K_0$ : finite rank projective modules over that ring under  $\oplus$ ;
- $K_1$ : automorphisms of those projective modules under composition.

**Definition 2.1** (Finitely generated projective module). For our purposes, a **finitely generated projective  $R$ -module** over a ring  $R$  is an  $R$ -module  $P$  satisfying  $P \oplus Q \cong R^n$  for some  $R$ -module  $Q$  and some  $n$ .

**Definition 2.2** ( $K_0$  of a ring). The set  $\text{Proj-}R$  of isomorphism classes of finitely generated projective  $R$ -modules is a commutative monoid, with associative binary operation  $\oplus$  (extended to classes) and identity element  $[0]$ . We define  $K_0(R)$  to be the abelian group of stable isomorphism classes of finitely generated projective  $R$ -modules, where  $P_1, P_2 \in \text{Proj-}R$  are **stably isomorphic** if  $P_1 \oplus R^n \cong P_2 \oplus R^n$  for some  $n$ .

**Definition 2.3** (Grothendieck group). The **Grothendieck group**  $G(M)$  of a commutative monoid  $M$  is the universal group completion of  $M$ . It can be constructed as the quotient of  $M \times M$  by the equivalence relation

$$(1) \quad (x, y) \sim (u, v) \iff x + v + t = u + y + t \text{ for some } t \in S.$$

*Remark 2.4.* Note that  $K_0(R) = G(\text{Proj-}R)$ .

**Theorem 2.5** ( $K_0$  as a functor).  $K_0(-)$  is a functor  $\text{Ring} \rightarrow \text{Ab}$  and we have  $K_0(R \times S) \cong K_0(R) \oplus K_0(S)$  for all rings  $R$  and  $S$ .

If  $R$  is a commutative ring then every  $R$ -module is naturally a bimodule, so  $K_0(R)$  then becomes a ring with multiplication  $\otimes_R$ . In this case  $K_0$  is a functor  $\text{CRing} \rightarrow \text{CRing}$  and we have  $K_0(R \times S) \cong K_0(R) \times K_0(S)$  as rings for all rings  $R$  and  $S$ .

**Definition 2.6** ( $K_1$  of a ring). First define  $\text{GL}(R)$  to be the union of all the groups  $\text{GL}_n(R)$ . This set is a group, with multiplication of  $A, B \in \text{GL}(R)$  given by first embedding  $A$  and  $B$  into the top left corner of identity matrices of the same size. We then define  $K_1(R)$  to be the group  $\text{GL}(R)/\text{E}(R)$ , where  $\text{E}(R)$  is the commutator subgroup  $[\text{GL}(R), \text{GL}(R)]$  of  $\text{GL}(R)$ . That is,  $K_1(R)$  is the maximal abelian quotient  $\text{GL}(R)_{\text{ab}}$  of  $\text{GL}(R)$ .

**Theorem 2.7** (Morita invariance). If  $R$  and  $S$  are Morita equivalent rings (i.e., the module categories  $\text{Mod-}R$  and  $\text{Mod-}S$  are equivalent), then they have isomorphic  $K$ -theory. In particular, we have  $K_i(\text{M}_n R) \cong K_i(R)$  for  $i = 0, 1$ .

*Examples 2.8.* (1)  $G(\mathbf{N}) \cong \mathbf{Z}$ .

- (2) If  $R$  is a division ring, a principal ideal domain or a local ring then every finitely generated projective  $R$ -module is isomorphic to  $R^n$  for some unique  $n$ . This sets up a correspondence between  $\text{Proj-}R$  and  $\mathbf{N}$ , so  $K_0(R) \cong \mathbf{Z}$ .

- (3) If  $V$  is an infinite dimensional vector space over a field  $F$  then  $K_0(\text{End}_F V) = 0$  because we have the relation  $[\text{End}_F] \oplus [\text{End}_F] = [\text{End}_F]$  in  $\text{Proj-}R$ . This example is known as the ‘‘Eilenberg swindle.’’
- (4) If  $R$  is a Dedekind domain then  $K_0(R) \cong \mathbf{Z} \oplus C(R)$ , where  $C(R)$  is the class group of  $R$ . For example, we have  $K_0(\mathbf{Z}[-\sqrt{5}]) \cong \mathbf{Z} \oplus \mathbf{Z}/2$ .
- (5) If  $R$  is a local ring then there is a unique determinant map  $\text{GL}(R) \rightarrow R_{\text{ab}}^\times$ , which induces an isomorphism  $K_1(R) \cong R_{\text{ab}}^\times$ . In particular, if  $F$  is a field then we have  $K_1(F) \cong F^\times$ .

Algebraic  $K$ -theory behaves quite a lot like a homology theory. There is a natural way of building the  $K$ -theory of the ring  $R$  given  $K_*(R/I)$  and ‘relative’  $K$ -groups  $K_*(R, I)$  for any two-sided ideal  $I \triangleleft R$ .

$$(2) \quad K_1(R, I) \rightarrow K_1(R) \rightarrow K_1(R/I) \rightarrow K_0(R, I) \rightarrow K_0(R) \rightarrow K_0(R/I)$$

The class of regular rings is ‘nicely behaved’ with respect to algebraic homotopy.

**Definition 2.9.** *A ring  $R$  is said to be (left) **regular** if it is (left) noetherian and every finitely generated (left)  $R$ -module has a finite type projective resolution.*

Examples of such rings include every PID and every Dedekind domain, whereas group rings  $\mathbb{Z}G$  of non-trivial finite groups  $G$  are not regular.

**Theorem 2.10** (Grothendieck’s Theorem). *Let  $R$  be a left regular ring. Then there are natural isomorphisms  $K_0(R) \cong K_0(R[t]) \cong K_0(R[t, t^{-1}])$  and  $K_1(R) \cong K_1(R[t])$ .*

**Theorem 2.11** (Bass-Heller-Swan). *Let  $R$  be a left regular ring. Then there is a natural isomorphism  $K_1(R[t, t^{-1}]) \cong K_1(R) \oplus K_0(R)$ .*

Motivated by Bass-Heller-Swan, we inductively define the negative  $K$ -groups as follows. For all  $n \geq 0$  we let,

$$K_{-n-1}(R) := \text{coker}(K_{-n}(R[t]) \oplus K_{-n}(R[t^{-1}]) \rightarrow K_{-n}(R[t, t^{-1}])).$$

Furthermore, we define  $NK_{-n}(R) := \text{coker}(K_{-n}(R) \rightarrow K_{-n}(R[t]))$ .

**Theorem 2.12** (Fundamental Theorem of Algebraic  $K$ -theory). *For any ring  $R$  and any  $n \in \mathbb{N}$  there is a natural splitting,*

$$K_{-n}(R[t, t^{-1}]) \cong K_{-n}(R) \oplus K_{-n+1}(R) \oplus NK_{-n}(R) \oplus NK_{-n}(R)$$

### 3. GEOMETRIC REALIZATION OF A CATEGORY

Throughout this section let  $C$  be a small category<sup>1</sup>.

**Definition 3.1.** *The nerve,  $NC$ , of  $C$ , is the simplicial set whose  $n$ -simplices are diagrams  $c$  in  $C$  of the form,*

$$(3) \quad c_0 \rightarrow c_1 \rightarrow \cdots \rightarrow c_n.$$

*The  $i^{\text{th}}$  face of the simplex  $\delta_i(c)$  is obtained by deleting  $c_i$  in the obvious manner, and the  $i^{\text{th}}$  degeneracy  $\sigma_i(c)$  is obtained by replacing  $c_i$  with  $c_i \xrightarrow{\cong} c_i$ . The geometric realization of  $NC$ , denoted  $|NC|$  is the CW-complex obtained by attaching an  $n$ -cell via its boundary to each non-degenerate  $n$ -simplex and making identifications according to the boundary maps. We define the geometric realization  $BC$  of  $C$  to be the geometric realization of its nerve.*

Category theoretic properties of  $C$  match well with the homotopy theoretic properties of  $BC$ .

<sup>1</sup>we can also apply the definitions of this section to a category  $C$  with small skeleton  $C_0$ , in which case  $BC_0$  is well defined upto homotopy

**Proposition 3.2.** *Any natural transformation  $\eta : F_1 \rightarrow F_2$  between functors  $F_1, F_2 : C \rightarrow D$  induces a homotopy  $B\eta : BC \times [0, 1] \rightarrow BD$  between the maps  $BF_1$  and  $BF_2$ .*

**Corollary 3.3.** *Any adjoint pair of functors  $F : C \rightarrow D$  and  $G : D \rightarrow C$  induce a homotopy equivalence between  $BC$  and  $BD$ . In particular, any equivalence of categories  $C, D$  induces a homotopy between  $BC$  and  $BD$ , and for any category  $C$  with a terminal (or initial) object,  $BC$  is contractible.*

The following result is a tool to determine whether a functor  $F : C \rightarrow D$  is a homotopy equivalence.

**Theorem 3.4** (Quillen's Theorem A). *Let  $F : C \rightarrow D$  be a functor such that  $d \setminus F$  is contractible for every  $d \in D$ . The  $BF : BC \rightarrow BD$  is a homotopy equivalence.*

We summarize some definitions from topology needed to obtain a long exact sequence of homotopy groups.

**Definition 3.5.** *A continuous map  $f : E \rightarrow B$  induces maps  $\pi_* E \rightarrow \pi_* B$ . The **homotopy fiber**  $F(f)$  of  $f$ , relative to a basepoint  $*_B$  of  $B$ , is the space of pairs  $(e, \gamma)$ , where  $e \in E$  and  $\gamma : [0, 1] \rightarrow B$  is a path starting at  $*_B$  and ending at  $f(e)$ . A sequence of based spaces  $F \rightarrow E \xrightarrow{f} B$  with  $F \rightarrow B$  constant is called a **homotopy fibration sequence** if the map  $F \rightarrow F(f)$  is a homotopy equivalence.*

Given a basepoint  $*_E$  with  $f(*_E) = *_B$ , there is a long exact sequence of homotopy groups.

$$(4) \quad \dots \rightarrow \pi_{n+1} B \rightarrow \pi_n F(f) \rightarrow \pi_n E \rightarrow \pi_n B \rightarrow \pi_{n-1} F(f) \rightarrow \dots \\ \rightarrow \pi_1 B \rightarrow \pi_0 F(f) \rightarrow \pi_0 E \rightarrow \pi_0 B.$$

The main result of this section is the following categorical analogue of the homotopy fiber sequence.

**Theorem 3.6** (Quillen's Theorem B). *Let  $F : C \rightarrow D$  be a functor such that for every morphism  $d \rightarrow d'$  in  $D$  the induced functor  $d' \setminus F \rightarrow d \setminus F$  is a homotopy equivalence. Then for each  $d \in D$  the geometric realization of the sequence,*

$$d \setminus F \xrightarrow{j} C \xrightarrow{F} D,$$

*is a homotopy fibration sequence. Thus there is a long exact sequence of homotopy groups,*

$$\dots \rightarrow \pi_{i+1}(BD) \xrightarrow{\partial} \pi_i(Bd \setminus F) \xrightarrow{j} \pi_i(BC) \xrightarrow{F} \pi_i(BD) \xrightarrow{\partial} \dots$$

#### 4. QUILLEN'S + CONSTRUCTION

Algebraic topology studies functors  $\pi_n, H_n : \mathbf{Top} \rightarrow \mathbf{Ab}$ ; the motivation behind lies in the fact that algebraic objects are sometimes simpler to handle than topological spaces. Quillen(1969) proposed a definition of higher  $K$ -groups of the ring  $R$  as the homotopy groups of a certain topological space  $BGL(R)^+$ . This is an 'almost functorial' construction (i.e., upto homotopy equivalence of topological spaces). The properties that such a space is expected to have are mentioned in the following definition.

**Definition 4.1.** *The notation  $BGL(R)^+$  will denote any CW-complex  $X$  which has a distinguished map  $BGL(R) \rightarrow X$  such that*

- (1)  $\pi_1 X \cong K_1(R)$ , and the natural map from  $GL(R) = \pi_1 BGL(R)$  to  $\pi_1 X$  is onto with kernel  $E(R)$ ,
- (2)  $H_*(BGL(R); M) \xrightarrow{\cong} H_*(X; M)$  for every  $K_1(R)$ -module  $M$ .

In this case, we say that  $X$  is a **model** for  $BGL(R)^+$ . Write  $K(R)$  for the product  $K_0(R) \times BGL(R)^+$  and define  $K_n(R) := \pi_n K(R)$ .

The topological space  $K(R)$  is rigged so that the earlier definitions of  $K_i(R)$  for  $i = 0, 1, 2$  agree with the new definition.

**Definition 4.2.** A topological space  $X$  is said to be **acyclic** if it has the homology of a point and a map  $f : X \rightarrow Y$  is said to be **acyclic** if its homotopy fiber is acyclic.

The **Volodin space**  $X(R)$  of a ring  $R$  is an acyclic subspace of  $BGL(R)$  given by  $X(R) := \bigcup_{n=1}^{\infty} \bigcup_{\sigma \in \Sigma_n} BT_n(R)^\sigma$ , where  $T_n$  is the subgroup of  $GL_n(R)$  consisting of upper triangular matrices with 1 on the diagonal and  $\Sigma_n$  is the permutation group on  $n$  elements represented by matrices. The image of the map  $\pi_1 X(R) \rightarrow \pi_1 BGL(R)$  is the group  $E(R)$ . The space  $BGL(R)/X(R)$  is a model for  $BGL(R)^+$ .

We need more results from topology of acyclic spaces to obtain an algebraic version of the  $+$ -construction. The proof of the following result uses Serre spectral sequence.

**Lemma 4.3.** *If  $E$  is an acyclic space, then  $E$  is connected and  $\pi_1 E$  is perfect group with  $H_2(\pi_1 E; \mathbb{Z}) = 0$ .*

Note from the last few terms of the sequence(4) that if  $X \rightarrow Y$  is acyclic, then  $\pi_1 X \rightarrow \pi_1 Y$  is onto with a perfect normal subgroup of  $\pi_1 X$  as its kernel. This motivates the following definition.

**Definition 4.4.** Let  $P$  be a perfect normal subgroup of  $\pi_1 X$ , where  $X$  is a based connected CW-complex. An acyclic map  $f : X \rightarrow Y$  is called a  **$+$ -construction** on  $X$  relative to  $P$  if  $P$  is the kernel of  $\pi_1 X \rightarrow \pi_1 Y$ .

Every group has a largest perfect normal subgroup called as its perfect radical. The following theorem was proved by Quillen in 1969.

**Theorem 4.5.** (Quillen) *Let  $P$  be a perfect normal subgroup of  $\pi_1(X)$ . Then*

- (1) *there is a  $+$ -construction  $f : X \rightarrow Y$  relative to  $P$ ,*
- (2) *if  $f : X \rightarrow Y$  is a  $+$ -construction relative to  $P$  and  $g : X \rightarrow Z$  is a map such that  $P$  vanishes in  $\pi_1(Z)$ , then there is a map  $h : Y \rightarrow Z$ , unique upto homotopy, such that  $g = hf$ ,*
- (3) *furthermore if  $g$  is another  $+$ -construction relative to  $P$ , then the map  $h$  is a homotopy equivalence.*

The following lemma helps to connect the theorem with the definition of  $BGL(R)^+$ , whose proof uses Serre spectral sequence.

**Lemma 4.6.** *Let  $X$  and  $Y$  be connected CW-complexes. A map  $f : X \rightarrow Y$  is acyclic if and only if  $H_*(X, M) \cong H_*(Y, M)$  for every  $\pi_1(Y)$ -module  $M$ .*

Several other constructions of models of  $BGL(R)^+$  are known and some of them are functorial.

The higher  $K$ -groups are graded components of a ring, where the multiplication is defined as follows. If  $A$  and  $B$  are rings, then there is a tensor product homomorphism  $GL_n(A) \times GL_m(B) \rightarrow GL_{n+m}(A \otimes B)$ . These maps induce a map  $\gamma : BGL(A)^+ \wedge BGL(B)^+ \rightarrow BGL(A \otimes B)^+$ , well-defined upto weak homotopy equivalence. Thus we get a product map  $K_p(A) \otimes K_q(B) \rightarrow K_{p+q}(A \otimes B)$ .

**Theorem 4.7.** (Loday) *The product map is natural in  $A$  and  $B$ , bilinear and associative. If  $A$  is commutative, then the induced product  $K_p(A) \otimes K_q(A) \rightarrow K_{p+q}(A \otimes A) \rightarrow K_{p+q}(A)$  is graded-commutative.*

**Theorem 4.8** (Fundamental theorem). *Let  $R$  be a ring. Then there is a canonically split exact sequence*

$$(5) \quad \begin{array}{ccc} 0 \longrightarrow K_n(R) & \longrightarrow & K_n(R[t]) \oplus K_n(R[t^{-1}]) \\ & & \downarrow \\ & & K_n(R[t, t^{-1}]) \xrightarrow{\partial} K_{n-1}(R) \longrightarrow 0, \end{array}$$

where the splitting of  $\partial$  is given by multiplication by  $t$ . Moreover, if  $R$  is a regular ring then we have

$$(6) \quad K_n(R[t, t^{-1}]) \cong K_n(R) \oplus K_{n-1}(R).$$

#### SOME KNOWN HIGHER $K$ -GROUPS

Higher  $K$ -groups are very hard to compute and the current state of knowledge of groups, even for fields, is incomplete.

**Theorem 4.9** (Quillen).  $K_{2k}(\mathbb{F}_q) = 0$  and  $K_{2k-1}(\mathbb{F}_q) = C_{q^k-1}$  for all  $k > 0$ .

**Theorem 4.10.** *Let  $F$  be an algebraically closed field of characteristic 0. Then  $K_{2k}(F)$  is uniquely divisible and  $K_{2k-1}(F)$  is a direct sum of a uniquely divisible group and a torsion group  $\mathbb{Q}/\mathbb{Z}$  for all  $k > 0$ .*

#### 5. DEFINABLE COMBINATORICS AND GROTHENDIECK RINGS

Let  $L$  denote any language and  $M$  denote any first order  $L$ -structure. The term definable will always mean definable with parameters from  $M$ .

**Definitions 5.1.** *For every  $n \geq 1$ , we define  $\text{Def}(M^n)$  to be the collection of all definable subsets of  $M^n$ . We define  $\overline{\text{Def}}(M) := \bigcup_{n \geq 1} \text{Def}(M^n)$ .*

**Definition 5.2.** *We say that two definable sets  $A, B \in \overline{\text{Def}}(M)$  are **definably isomorphic** if there exists a definable bijection between them, i.e., a bijection  $f : A \rightarrow B$  such that the graph  $\text{Gr}(f) \in \overline{\text{Def}}(M)$ . This is an equivalence relation on  $\overline{\text{Def}}(M)$  and the equivalence class of a set  $A$  is denoted by  $[A]$ . We use  $\overline{\text{Def}}(M)$  to denote the set of all equivalence classes with respect to this relation. We use  $[-] : \overline{\text{Def}}(M) \rightarrow \overline{\text{Def}}(M)$  to denote the surjective map defined by  $A \mapsto [A]$ .*

We can regard  $\overline{\text{Def}}(M)$  as an  $L_{\text{ring}}$ -structure. In fact, it is a semiring with respect to the operations defined as follows:

- $0 := [\emptyset]$ ;
- $1 := [\{*\}]$  for any singleton subset  $\{*\}$  of  $M$ ;
- $[A] + [B] := [A' \sqcup B']$  for  $A' \in [A], B' \in [B]$  such that  $A' \cap B' = \emptyset$ ;
- $[A] \cdot [B] := [A \times B]$ .

This following ring captures some aspects of the definable combinatorics of the structure  $M$ .

**Definition 5.3.** *We define the **model-theoretic Grothendieck ring of the first order structure**  $M$ , denoted by  $K_0(M)$ , to be the abelian group  $G(\overline{\text{Def}}(M))$  equipped with multiplication induced by the cartesian product of the definable sets, where  $G$  denotes the Grothendieck group construction of 2.3.*

**Definition 5.4.** *We say that an infinite structure  $M$  satisfies the **onto pigeonhole principle** if for each  $A \in \overline{\text{Def}}(M)$  and each definable injection  $f : A \rightarrow A$ , we have  $f(A) \neq A \setminus \{a\}$  for any  $a \in A$ .*

**Proposition 5.5.** *Given any infinite structure  $M$ ,  $K_0(M) \neq \{0\}$  if and only if  $M$  satisfies the onto pigeonhole principle.*

*Examples 5.6.* Here is a list of some known Grothendieck Rings.

- If  $M$  is a finite structure, then  $K_0(M) \cong \mathbb{Z}$ .
- (Krajíček-Scanlon) If  $\mathbb{R}$  is a real closed field, then  $K_0(\mathbb{R}) \cong \mathbb{Z}$ .
- (R. Cluckers and D. Haskell)  $K_0(\mathbb{F}_q((t))) = 0$ .
- (R. Cluckers and D. Haskell)  $K_0(\mathbb{Q}_p) = 0$ .
- (Denef-Loeser)  $\mathbb{Z}[u, v]$  is a quotient of  $K_0(\mathbb{C})$ .
- (Krajíček-Scanlon)  $\mathbb{Z}[X_i : i \in \mathfrak{c}] \subseteq K_0(\mathbb{C})$ .
- (Perera) If  $M$  is a vector space over a skew field  $R$ , then  $K_0(M) \cong \mathbb{Z}[X]$ .

**Proposition 5.7** (Properties of Grothendieck rings). (1) *If  $M, N$  are  $L$ -structures and  $M \preceq N$  then  $K_0(M) \leq K_0(N)$ .*

- (2) *If  $M \equiv N$ , then  $\widetilde{\text{Def}}(M) \equiv_{\exists_1} \widetilde{\text{Def}}(N)$  in  $L_{ring}$ . As the Grothendieck ring  $K_0(M)$  is existentially interpretable in  $\widetilde{\text{Def}}(M)$ , we have  $K_0(M) \equiv_{\exists_1} K_0(N)$ .*
- (3) *If  $M_{\mathcal{R}} \equiv N_{\mathcal{R}}$ , then  $K_0(M_{\mathcal{R}}) \cong K_0(N_{\mathcal{R}})$ . Hence the Grothendieck ring of a module is an invariant of its theory.*
- (4) *If  $M_{\mathcal{R}}$  is nonzero, then there is a split embedding  $\mathbb{Z} \rightarrow K_0(M_{\mathcal{R}})$  of rings. In particular, the Grothendieck ring is nontrivial.*
- (5) *If  $M$  is a right  $\mathcal{R}_1 \times \mathcal{R}_2$ -module with natural decomposition  $M = M_1 \oplus M_2$  with  $M_i$  a right  $\mathcal{R}_i$ -module, then  $K_0(M) \cong K_0(M_1) \otimes_{\mathbb{Z}} K_0(M_2)$ .*

**Definition 5.8.** *For a commutative monoid  $(\mathcal{U}, \star, 1)$ , the **integral monoid ring**  $(\mathbb{Z}[\mathcal{U}], 0, 1, +, \cdot)$  is defined as follows.*

- $\mathbb{Z}[\mathcal{U}] := \{\phi : \mathcal{U} \rightarrow \mathbb{Z} : \text{the set } \text{Supp}(\phi) = \{a : \phi(a) \neq 0\} \text{ is finite}\}$
- $(\phi + \psi)(a) := \phi(a) + \psi(a)$  for  $a \in \mathcal{U}$
- $(\phi \cdot \psi)(a) := \sum_{b \star c = a} \phi(b)\psi(c)$  for  $a \in \mathcal{U}$

**Theorem 5.9.** [2, Theorem 5.2.3] *Let  $\mathcal{R}$  denote a unital ring and  $M_{\mathcal{R}}$  denote an  $\mathcal{R}$ -module. Suppose  $\overline{\mathcal{X}}$  is the multiplicative monoid of pp-isomorphism class of pp-sets. Then  $K_0(M_{\mathcal{R}})$  is the quotient of the integral monoid ring  $\mathbb{Z}[\overline{\mathcal{X}}]$  by the invariants ideal  $\mathcal{J}$  that encodes finite indices of pp-pairs.*

## 6. K-THEORY OF SYMMETRIC MONOIDAL GROUPOIDS

**Definition 6.1.** *A **groupoid** is a (skeletally) small category  $S$  in which every morphism is an isomorphism. A triple  $(S, *, e)$  is a **symmetric monoidal groupoid** if the groupoid  $S$  is equipped with a bifunctor  $*$  :  $S \times S \rightarrow S$ , and a distinguished object  $e$  such that, for all objects  $s, t, u \in S$ , there are natural coherent isomorphisms*

$$e * s \cong s \cong s * e, \quad s * (t * u) \cong (s * t) * u, \quad s * t \cong t * s.$$

**Definition 6.2.** *Suppose  $S$  is symmetric monoidal, and suppose  $S^{iso}$  denotes the set of isomorphism classes of objects of  $S$ . Then  $S^{iso}$  is a commutative monoid with respect to the product induced by  $*$ , with identity element  $e$ . The Grothendieck group of this commutative monoid is denoted  $K_0^*(S)$ , or just  $K_0(S)$  if the product is clear from the context.*

*Examples 6.3.* Let  $G$  be a finite group, and  $G\text{-Set}_{\text{fin}}$  be the category of finite  $G$ -sets. This is symmetric monoidal with respect to  $\coprod$ , and  $K_0(G\text{-Set}_{\text{fin}})$  is the *Burnside Ring* of  $G$ , often denoted  $A(G)$ . The category  $\text{Rep}_{\mathbb{C}}(G)$  of complex representations of  $G$  is symmetric monoidal with respect to  $\oplus$ . Applying  $K_0$  to  $\text{Rep}_{\mathbb{C}}(G)$  produces the *representation ring* of  $G$ ,  $R(G)$ .

An **H-space** is a topological space  $X$  with a continuous binary operation  $\mu : X \times X \rightarrow X$  such that there is a point  $e \in X$  for which the functions  $x \mapsto \mu(x, e)$  and  $x \mapsto \mu(e, x)$  are homotopic to the identity on  $X$ , through homotopies preserving the

point  $e$ . The geometric realization  $BS$  is an  $H$ -space with a homotopy-commutative and homotopy-associative product. The commutative monoid  $\pi_0(S)$  is just the set of isomorphism classes of objects in  $S$ . In fact,  $S$  is equivalent to  $\coprod Aut_S(s)$  and hence  $B(S)$  is homotopy equivalent to the disjoint union of classifying spaces  $B Aut_S(s)$ ,  $s \in S^{iso}$ .

*Examples 6.4.* The space  $B(iso \text{ FinSet})$  is homotopy equivalent to  $\coprod_{n \geq 0} B\Sigma_n$ , where  $\Sigma_n$  is the permutation group on the set of  $n$ -elements.

The space  $B(iso \text{ Proj-}R)$  is homotopy equivalent to  $\coprod B Aut(P)$ , where  $P$  runs over isomorphism classes.

If  $\mathbf{F}(R)$  is the category  $\coprod_n GL_n(R)$ , whose objects are the based free  $R$ -modules, then the space  $B\mathbf{F}(R)$  is equivalent to  $\coprod_n BGL_n(R)$ .

We say that **translations are faithful** in  $S$  if every translation  $Aut(s) \rightarrow Aut(s * t)$  in  $S$  is an injection. If translations are faithful in  $S$ , then the following construction of the category  $S^{-1}S$  gives a ‘‘group completion’’  $B(S^{-1}S)$  of  $BS$ . The motivation comes from the group completion of a commutative monoid.

**Definition 6.5.** *If  $S$  is any symmetric monoidal category, then we define a new category  $S^{-1}S$  as follows. The objects are pairs of objects of  $S$  and a morphism is an equivalence class of composites  $(m_1, m_2) \xrightarrow{s*} (s*m_1, s*m_2) \xrightarrow{(f,g)} (n_1, n_2)$ , where equivalence is induced by isomorphisms  $\alpha : s \rightarrow t$  so that  $(f, g) \circ (\alpha * m_i) = (f', g')$ . This assignment is functorial for strict monoidal functors.*

The category  $S^{-1}S$  is a symmetric monoidal category and the natural map  $BS \rightarrow B(S^{-1}S)$  is an  $H$ -space map. It induces a map of monoids  $\pi_0(S) \rightarrow \pi_0(S^{-1}S)$ , where the target is an abelian group owing to the existence of a morphism  $\eta : (e, e) \rightarrow (m, n) * (n, m)$ .

**Definition 6.6.** *If  $S$  is any symmetric monoidal category, then we define the  $K$ -theory space  $K^*(S)$  of  $S$  as the geometric realization of  $S^{-1}S$ . The  $K$ -groups of  $S$  are defined as  $K_n^*(S) := \pi_n K^*(S)$ .*

This assignment is functorial and the definition of  $K_0^*(S)$  agrees with the earlier definition of  $K_0(S)$  i.e., the group completion of  $\pi_0(S^{iso})$ .

A **pairing** of symmetric monoidal categories is a functor  $\otimes : S_1 \times S_2 \rightarrow S$  such that  $\otimes$  preserves identities and satisfies a (coherent) bi-distributivity law over  $*$ . Examples include  $\times$  on  $\text{FinSets}$  and the tensor product of based free modules.

Peter May proved a theorem which states that a pairing determines a natural pairing of infinite loop spaces  $K(-)$ , as well as a pairing of  $\Omega$ -spectra  $\mathbf{K}(-)$ , which in turn induces bilinear products on  $K$ -groups. These products agree with Loday’s theorem for  $\text{FinSets}$  and  $\mathbf{F}(R)$ .

Quillen’s  $+$ -construction relates with  $S^{-1}S$  construction as stated in the following theorem.

**Theorem 6.7.** *When  $S = \coprod GL_n(R)$ ,  $K(S) = B(S^{-1}S)$  is the group completion of  $BS = \coprod BGL_n(R)$ , and  $B(S^{-1}S) \simeq \mathbb{Z} \times BGL(R)^+$ .*

**Theorem 6.8.** *(Barratt-Priddy-Quillen-Segal) The following three infinite loop spaces are the same:*

- (a) *the group completion  $K(\text{FinSets})$  of  $B\text{FinSets}$ ,*
- (b)  $\mathbb{Z} \times B\Sigma^\infty$ ,
- (c)  $\Omega^\infty S^\infty = \lim_{n \rightarrow \infty} \Omega^n S^n$ .

*Hence the groups  $K_n(\text{FinSets})$  are the stable homotopy groups of spheres,  $\pi_n^s$ .*

The free  $R$ -module functor  $\text{FinSets} \rightarrow \mathbf{F}(R)$  induces maps  $\pi_n^s \rightarrow K_n(R)$ .



## 7. K-THEORY OF MODEL-THEORETIC STRUCTURES

Let  $M$  denote an  $L$ -structure. Let  $\text{Def}(M^n)$  denote the set of definable subsets (with parameters from  $M$ ) of  $M^n$  for each  $n \geq 1$ . Further let  $\mathcal{S}$  (or  $\mathcal{S}(M)$  if two or more structures are present) denote the category whose objects are  $\bigcup_{n \in \mathbb{Z}_+} \text{Def}(M^n)$  and whose maps are definable bijections between definable subsets. Then  $(\mathcal{S}, \sqcup, \emptyset, \times)$  is a symmetric monoidal category where  $\sqcup$  denotes disjoint union and the cartesian product  $\times$  of definable sets is a pairing. Furthermore, translations are faithful in  $\mathcal{S}$ .

We define  $K_n(M) := K_n(\mathcal{S})$  for each  $n \geq 0$ , where  $K_n(\mathcal{S}) = \pi_n B\mathcal{S}^{-1}\mathcal{S}$  is the definition of  $K$ -groups of a symmetric monoidal groupoid given by Quillen.

**Theorem 7.1** (Bass). *If  $\mathcal{S}$  is a symmetric monoidal groupoid whose translations are faithful, then*

$$(7) \quad K_1(\mathcal{S}) = \varinjlim_{S \in \mathcal{S}} H_1(\text{Aut}_{\mathcal{S}}(S); \mathbb{Z}).$$

Note that if  $\mathcal{S} = \mathcal{S}(M)$  for some structure  $M$ , then this theorem gives  $K_1(M) = \text{colim}_{n \rightarrow \infty} H_1(\text{Aut}_{\mathcal{S}}(M^n); \mathbb{Z})$ .

The following remark will be useful for computations.

*Remark 7.2.* Suppose that  $(\mathcal{S}, \star, E)$  is a symmetric monoidal groupoid whose translations are faithful. Further suppose that  $\mathcal{S}$  has a countable sequence of objects  $S_1, S_2, \dots$  such that  $S_{n+1} = S_n \star A_n$  for some  $A_n \in \mathcal{S}$ , and satisfying the cofinality condition that for every  $S \in \mathcal{S}$  there is an  $S'$  and an  $n$  such that  $S \star S' \cong S_n$ . In this case we can form the group  $\text{Aut}(\mathcal{S}) = \text{colim}_{n \rightarrow \infty} \text{Aut}_{\mathcal{S}}(S_n)$ .

If  $M$  is an elementary substructure of  $N$  and  $\phi(\bar{x}, \bar{a})$  is any formula with parameters from  $M$ , then  $\phi(N, \bar{a})$  defines a subset of  $N^{|\bar{x}|}$ . This defines a (strict) monoidal functor  $\mathcal{S}(M) \rightarrow \mathcal{S}(N)$ . Hence  $K$ -theory is functorial on elementary embeddings.

*Example 7.3.* Let  $M$  be a finite structure. Then every subset of  $M^n$  is definable with parameters. Thus the symmetric monoidal groupoid  $(\mathcal{S}, \sqcup, \emptyset)$  is equivalent to the groupoid  $(\text{FinSets}^{\text{iso}}, \sqcup, \emptyset)$  of finite sets and bijections. Hence by Barratt-Priddy-Quillen-Segal theorem,  $K_n(\mathcal{S})$  is the  $n^{\text{th}}$  stable homotopy group of spheres. In particular,  $K_1(M) = \mathbb{Z}_2$ .

## 8. K-THEORY OF VECTOR SPACES

Let  $F$  denote an infinite field and  $V$  denote an infinite  $F$ -vector space. We study  $V$  as a structure in the language of  $F$ -vector spaces. The theory of  $V$  eliminates quantifiers in this language and hence every object of  $\mathcal{S}(V_F)$  is a finite boolean combination of the basic definable subsets - the *pp*-definable subsets - namely  $V, V^2, \dots$  and their cosets in higher dimensional spaces.

To compute  $K_1(\mathcal{S}(V_F)) := \varinjlim_{n \in \mathbb{N}} \text{Aut}_{\mathcal{S}}(V^n)^{ab}$ , we express  $\text{Aut}_{\mathcal{S}}(V^n)$  as an iterated semidirect product of certain wreath products.

**Definition 8.1.** *Let  $(G, \cdot, e)$  be a group,  $N \triangleleft G$  and  $H \leq G$ . If  $G = NH$  and  $N \cap H = \{e\}$ , then we say that  $G$  is a **semidirect product** of  $N$  and  $H$  and write  $G = H \ltimes N$ .*

*Let  $K$  and  $L$  be groups and let  $B := \bigoplus_{l \in L} K_l$ . The **(restricted) wreath product**  $K \wr L$  is defined to be the group  $L \ltimes B$  and  $B$  is said to be the **base** of the wreath product.*

For example, the group  $K \wr \Sigma_n$  is the set of  $n$ -tuples of elements of  $K$  with the permutation group acting on the indices in the tuples.

**Proposition 8.2.** *Suppose  $H$  acts on  $N$ . Then  $(H \ltimes N)^{ab} = H^{ab} \times (N^{ab})_H$ , where  $(N^{ab})_H$  is the quotient of  $N^{ab}$  by the subgroup generated by elements of the form  $n^h - n$  where  $n^h$  denotes the action of  $h \in H$  on  $n \in N^{ab}$  induced by the action of  $H$  on  $N$ .*

**Proposition 8.3.**  $\text{Aut}_{\mathcal{S}}(V^n)^{ab} = \Upsilon^n \times (\Upsilon_{n-1}^n \times (\Upsilon_{n-2}^n \times (\cdots (\Upsilon_1^n \times \Upsilon_0^n) \cdots)))$ , where, for each  $n > m \geq 0$ ,  $\Upsilon_m^n := (\text{GL}_n(F) \ltimes V^n) \wr \Sigma_m^n$  and  $\Sigma_m^n$  is the finitary permutation group on the set of cosets of an  $m$ -dimensional subspace of  $V^n$ .

**Theorem 8.4.**  $K_0(V_F) = \mathbb{Z}[x] = \mathbb{Z}[\mathbb{N}]$ , where  $x = [V_F] \in K_0(V_F)$  and  $K_1(V_F) = \mathbb{Z}_2 \oplus \bigoplus_{n \geq 1} (F^\times \oplus \mathbb{Z}_2)$ .

**Conjecture 8.5.** Suppose  $M$  is an infinite  $\mathcal{R}$ -module satisfying  $M \cong M \oplus M$ . Then  $K_1(M_{\mathcal{R}}) = \bigoplus_{\mathfrak{A} \in \mathcal{X}} (\Upsilon(\mathfrak{A})^{ab} \oplus \mathbb{Z}_2)$ , where  $\Upsilon(\mathfrak{A})$  is the group of  $pp$ -definable automorphisms of any  $pp$ -set in  $\mathfrak{A}$ .

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